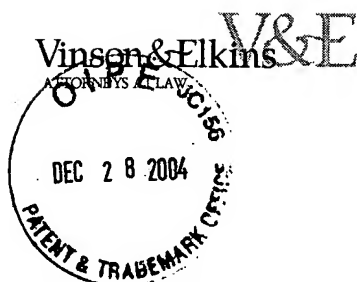


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December 21, 2004

**Mail Stop Amendment**  
Commissioner for Patents  
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450, on the date below:

December 21, 2004

Date

  
Timothy S. Corder

*Re: U.S. Patent Application Serial No. 10/077,174 entitled "PROCESS AND SYSTEM FOR DETERMINING CORRELATION OF PUBLIC AND PRIVATE MARKETS AND RISK OF PRIVATE MARKETS" by Austin M. Long et al.  
Attorney Docket No. ALI515/4-3*

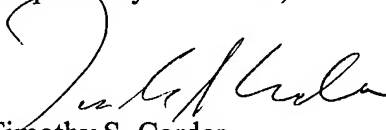
Sir:

Enclosed for filing in the above-referenced patent application are the following:

1. Amendment and Response to Official Action dated September 22, 2004;
2. Figure; and
3. Postcard. Please date stamp and mail the postcard.

No fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct said fee from Vinson & Elkins L.L.P. Deposit Account No. 22-0365/ALI515/4-3.

Respectfully submitted,

  
Timothy S. Corder  
Patent Agent  
Reg. No. 38,414

9282:5588  
Enclosures

492440\_1.DOC





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Austin M. Long III et al.

Serial No.: 10/077,174

Filed: February 15, 2002

For: PROCESS AND SYSTEM FOR  
DETERMINING CORRELATION OF  
PUBLIC AND PRIVATE MARKETS AND  
RISK OF PRIVATE MARKETS

Group Art Unit: 3624

Examiner: Sandra Snapp

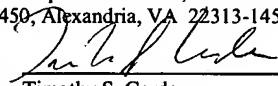
Atty. Dkt. No.: ALI515/4-3

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December 21, 2004  
Date

  
Timothy S. Corder

**AMENDMENT AND RESPONSE TO OFFICIAL  
ACTION DATED SEPTEMBER 22, 2004**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This paper is submitted in response to the Official Action dated September 22, 2004, the due date for response for which is December 22, 2004. This paper is thus believed to be timely filed. It is believed that no fees are due with the filing of this paper, however, should any fees be deemed to be due under 37 C.F.R. §§1.16-1.21, the Commissioner is authorized to deduct said fees from Vinson & Elkins L.L.P. Deposit Account No. 22-0365/ALI515/4-3US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Applicants request reconsideration of the claims.